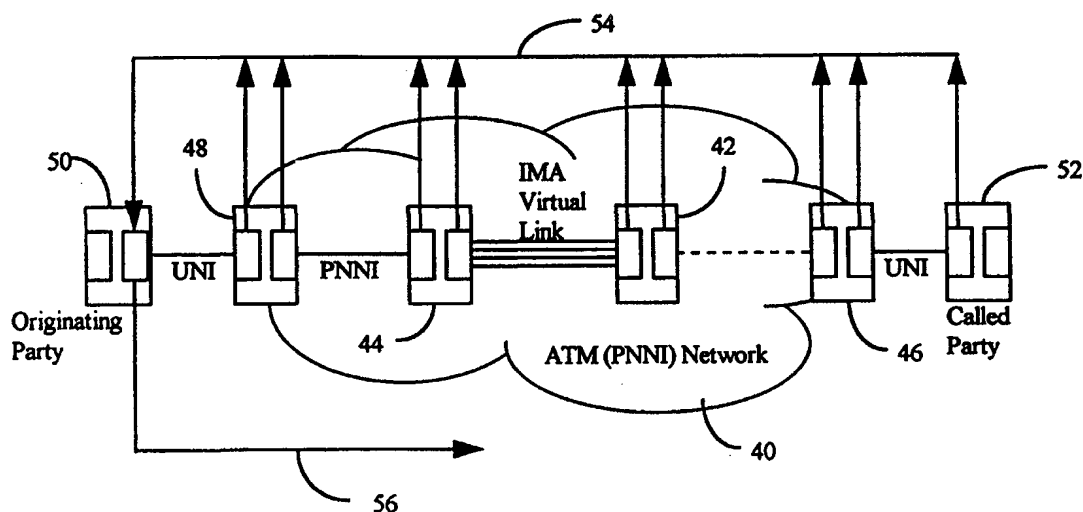




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(21) International Application Number: PCT/CA99/00259 (22) International Filing Date: 25 March 1999 (25.03.99) (30) Priority Data: 2,233,395 26 March 1998 (26.03.98) CA (71) Applicant: NORTHERN TELECOM LIMITED [CA/CA]; Station A, P.O. Box 6123, Montreal, Quebec H3C 3J5 (CA). (72) Inventor: SO, Tricci; 67 Allenby Road, Kanata, Ontario K2K 2J8 (CA). (74) Agents: TOYOOKA, Yoshiharu et al.; Gowling, Strathy & Henderson, Suite 2600, 160 Elgin Street, Ottawa, Ontario K1P 1C3 (CA).		(81) Designated States: AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG). Published <i>With international search report.</i> <i>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>

(54) Title: DYNAMIC BANDWIDTH MANAGEMENT AND REROUTING



(57) Abstract

A communications network performs a variety of maintenance actions. One of those actions is rerouting of a connection path. A feature called "dynamic resource management option" allows the network or the called party of an active connection to initiate a resource change procedure when needs arise. The resource change can also be achieved by rerouting the existing path of the active connection. However a maintenance action taken in one network domain is only effected within the same domain, because a different domain may have different maintenance procedures. Telecommunication connections often span more than one domain and a request for resource adjustment must be considered differently for a proper maintenance action. A resource change indication message includes a flag to indicate the message originated within or outside the domain. By observing the flag, it is possible to decide on a proper maintenance procedure.

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DYNAMIC BANDWIDTH MANAGEMENT AND REROUTING

Field of Invention

5 The invention generally relates to a network resource management of ATM networks. In particular, it is directed to a technique of performing reroute procedures in the event of a bandwidth change request.

Cross References

10 This invention relates to an applicant's pending U.S. application Serial No. 09/048,844 filed on Mar. 27, 1998 which is based upon U.S. Provisional applications Serial Nos 60/044, 563 filed Apr. 24, 1997 and 60/051767 filed July 7, 1997.

Background of Invention

15 The current ITU-T Q.2963.2 Recommendation (B-ISDN DSS2 Connection Modification - ATM Traffic Descriptor Modification by connection owner) provides the capability for the connection owner to initiate the MODIFY message to adjust the PCR (peak cell rate), SCR (sustainable cell rate) and MBS (?) dynamically on an active connection. However, in an ATM network environment which integrates with a variety of technologies, such as IMA (inverse multiplexing on ATM), WATM (wireless ATM), ADSL (asymmetric digital subscriber loop) and MPOA etc., there is a need for the network as well as the called party to have the capability to request the connection's bandwidth to be changed dynamically.

20 Rather than introducing unnecessary complex changes to the existing ITU-T Q.2963.2 procedures to handle the collisions of multiple "modify" requests, which are originated at the different points of a connection, the above referenced patent application introduces a new signalling capability to complement the ITU-T Q.2963.2 feature to manage connection bandwidth dynamically. The new capability defines a new information element called "dynamic bandwidth management option" in the existing "setup" message and allows the connection owner (i.e. the originator of the connection who initiates the "setup" message) to specify the dynamic bandwidth management option for point-to-point connections, and for the first party of the point-to-multipoint connections, during the connection establishment phase. When the network or the called party have the

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need to adjust the bandwidth on an active connection, it can follow the specified bandwidth management option, if given, to initiate the proper action to deal with the changes.

5 In order to accommodate the requested bandwidth changes, the network or the connection owner may perform certain maintenance procedures. For example, the connection owner may send a "modify" message on the connection to increase or reduce the bandwidth or such other characteristics of the connection. It is also possible that the connection may have to be rerouted to a new connection path with an adjusted bandwidth. However such maintenance actions taken in one
10 domain are only effected within the same domain, because a different domain may have different maintenance procedures. Telecommunication connections often span more than one domain and a request for bandwidth adjustment must be considered differently for a proper maintenance action, whether or not the request originated within or outside the domain.

15 One of many maintenance actions is rerouting a connection path, which is normally performed by the network. A connection path between a source node and a destination node span across one or more network domains and is made up of one or more connection segments involving one or more intermediate nodes.

An edge-based rerouting is a rerouting mechanism which replaces a
20 connection segment within a network domain starting from a source node of the domain and ending at a destination node of the domain. In the context of rerouting, the network domain is a collection of nodes which participate in rerouting decisions and actions. Figure 1 shows a rerouting operation within the domain 10. A rerouting node 12 is a node which is responsible for establishing an
25 alternative connection path 14 to a predetermined terminating node 16 which is called a rendezvous node. In this example, the rerouting node is the source node of the domain and the rendezvous node is the destination node of the domain. Figure 1 also show variety of intermediate nodes. An original path 18 must be rerouted because of a failed link 20 between two nodes, which will be called a
30 rebound node 22 and a blocking node 24, depending upon the direction of connection. A potential cross-over node 26 is also shown.

There are two types of edge-based rerouting, e.g., "break-before-make" and "make-before-break". They are also referred as "hard reroute" and "soft reroute" respectively. "Hard reroute" can be used for connection recovery or priority

control features. For other rerouting features such as path optimization and administrative rerouting etc., "soft reroute" is required.

For the edge-based rerouting, the rerouting node and rendezvous node participate in the connection control of a rerouting operation. To have a simple solution to handle the possible collision between the "hard reroute" and "soft reroute", a rerouting state machine is designed to run at each end of a connection segment to coordinate the protocol handshake. In order to simplify the rerouting procedures, an agreement was made to allow one and only one rerouting operation to be executed in a switch for a connection at one time. However, due to the different nature of triggering the soft reroute versus the hard reroute, it is possible that the soft reroute operation may be interrupted by the hard reroute. In this case, the hard reroute will preempt the soft reroute and proceed with the hard rerouting operation. Consequently, the design of the rerouting state machine is based on this assumption.

Figure 2 shows an operation model of an edge-based rerouting between a rerouting node 30 and a rendezvous node 32. For each connection, the rerouting state machine 34 is operating in parallel with the connection state machine at the edges of a PNNI network (domain) 36. Therefore, in some cases when a switch is performing a "soft reroute", up to three state machines may be running simultaneously to reroute a connection, e.g. two connection state machines (one for the incumbent connection 38 and one for the rerouting connection 40), and one rerouting machine. It should be noted that the rerouting connection in Figure 2 is going through a different interface than the incumbent one. However, it is possible that the rerouting connection resides at the same interface as the incumbent connection.

It should be noted that the feature described herein is not designed solely for supporting the ITU-T Q.2963.2 feature. It is an useful capability to inform the connection owner as well as the network operator regarding a significant resource change demand in a network and whether or not the demand originated within the domain. As a result, a decision can be made to initiate a proper maintenance action or to continue/abort performing the action started so that the changes requested can be effectively dealt with.

Objects of Invention

It is therefore an object of the invention to provide a mechanism that allows determination of a proper maintenance procedure to be performed in response to a resource change request of an active connection.

5 It is another object of the invention to provide a mechanism to inform the connection owner or the network operator whether or not a resource change request originated within the domain.

It is yet a further object of the invention to provide a mechanism that allows determination as to whether or not to continue performing a maintenance
10 procedure.

Summary of Invention

Briefly stated, the invention resides in an ATM network which is composed of a plurality of domains. According to one aspect, the invention is
15 directed to a method of managing the resource demand of an active connection which spans one or more domains. The method comprises steps of performing a maintenance action at a maintenance node in one domain, receiving a resource change message at the maintenance node, the message indicating a resource change request; and deciding to continue performing the maintenance action in
20 response to the resource change request based upon whether or not the resource change message originated outside the domain of the maintenance node.

Brief Description of Drawings

Figure 1 shows a known rerouting operation within a domain.
25 Figure 2 shows an operation model of a known edge-based rerouting.
Figure 3 is an overview of a bandwidth change indication message in an ATM environment.
Figure 4 shows a format of a dynamic bandwidth management option IE.

30 Detailed Description of the Preferred Embodiments of Invention

Figure 3 is an example of the bandwidth change indication operation in an ATM network. In the Figure, an ATM network 40 contains a variety of nodes 42, 44, 46 and 48, each having a variety of capabilities. As an example, nodes 42 and 44 are shown to be holding an IMA virtual link. Different interfaces link different
35 pair of nodes, one being defined by ATM Forum under PNNI (Public Network

Network Interface). Interfaces between a customer and an ATM node are defined in UNI (User Network Interface). Therefore a calling party 50 and a called party 52 are linked with respective nodes through UNI. For an illustration purpose, a path has been established between the calling party and the called party through nodes 48, 44, 42, and 46. The link between node 42 and 46 is shown as being of any kind. It should be emphasized that the links shown in the Figure are examples only. There are many different interfaces available in the field that can be used in this invention. Each node is able to initiate a "bandwidth change indication" message, when conditions warrant it.

10 In order to support this dynamic bandwidth change capability, the connection owner must include dynamic bandwidth management option information element in the "setup" request.

The existing "setup" message is modified to include the-new information element (IE for short) to specify dynamic bandwidth management options that the network and the called party are allowed to call for. This IE is specified by the connection owner and is sent to the network or the called party in the "setup" message during the initial connection establishment phase. The IE informs them of the connection owner's desired dynamic bandwidth management options for point-to-point connections and for the first user of point-to-multipoint connections. Many options are possible but some typical options would allow the network or the called party to:

(1) take no action;

(2) clear connection, if insufficient bandwidth resource to support the connection;

25 (3) inform the connection owner of the new bandwidth requirements which are specified in the "bandwidth change indication" message, set timer and wait for the maintenance action from the connection owner.

Based on the management option given by the connection owner, the network and the called party for that connection can determine the type of management action to perform when they encounter circumstances that require modification in resources on the connection. If the resources to be altered are the bandwidth requirement, it may be accomplished by the connection bandwidth modify procedures or rerouting. The "bandwidth change indication" message permits these procedures be initiated by not only the connection owner but the network and the called party. If dynamic bandwidth management option IE is

absent in the "setup" message, it implies that no specific management option is expected by the connection owner from the network or the called party. However, it does not imply that the network cannot perform its own desired maintenance.

Any one or more of network elements located at any of the nodes shown in Figure 3 can initiate bandwidth change indication procedure as shown by numeral 54. It is done by sending to the connection owner a "bandwidth change indication" message. The connection owner then initiates connection modify procedures as shown by numeral 56.

The "bandwidth change indication" message may contain one of the following IEs:

(1) the alternative ATM traffic descriptor IE to indicate the overall changes to the connection cell rate for a CBR or VBR connection.

(2) the minimum acceptable ATM traffic descriptor IE to indicate the changes for the peak cell rate parameter for a CBR, VBR, ABR or UBR connection.

According to an embodiment of the invention, in addition to above IEs, a "bandwidth change indication" message contains another IE. This IE is an "external bandwidth change indicator". This IE allows the dynamic bandwidth adjustment feature to interact with the edge-based rerouting feature more effectively.

The "external bandwidth change indicator" IE is to indicate whether or not the "bandwidth change indication" message originated outside the network domain. It contains a single parameter called "external flag". Currently, only a single value is defined for the external flag, "outside scope of edge-based", which has the value "00000001". Of course other values for other circumstances are possible.

The flag is set by the first rendezvous node which intercepts the "bandwidth change indication" message and supports the edge-based rerouting. Depending upon options chosen, a bandwidth change indication message calls for reduction or increase of bandwidth for the connection. How this message is handled by variety of node along the connection path will be described below.

(a) If a network node is the rendezvous node which receives the "bandwidth change indication" message from the direction of the called party, when it is in the process of performing the "soft reroute" operation, one of the following actions takes place:

1. The bandwidth change request is to reduce the bandwidth.

In this case, the rendezvous node shall abort the "soft reroute" operation, and forward the "bandwidth change indication" message to upstream towards the calling party.

5 2. The bandwidth change request is to increase the bandwidth.

In this case, the rendezvous node shall not forward the "bandwidth change indication" message to upstream towards the calling party until the rerouting operation is completed. The decision of whether queuing or discarding the "bandwidth change indication" message is local implementation dependent, and is
10 not within the scope of this description.

(b) If the network node is the rendezvous node which receives the "bandwidth change indication" message from the direction of the called party, when it is in the process of performing the "hard reroute" operation, it shall not forward the "bandwidth change indication" message to upstream towards the
15 calling party until the connection is recovered. The decision of whether queuing or discarding the "bandwidth change indication" message is local implementation dependent, and is not within the scope of this description.

(c) If the network node is the rendezvous node which is not performing any rerouting operation, it shall insert the external bandwidth change indicator
20 information element, if not present, with the external flag set to "outside scope of edge-based" in the "bandwidth change indication" message.

(d) If the network node is also a rerouting node and receives the "bandwidth change indication" message when it is in the progress of performing the "soft reroute" operation, one of the following action follows:

25 1. If the bandwidth change request is to reduce the bandwidth.

In this case, if the bandwidth change request is within the rerouting domain (i.e. the external bandwidth change indicator is not present), the connection owner may ignore the bandwidth change request and carry on the rerouting operation. It is because that the rerouting may be able to get around the trouble area.

30 Otherwise, if the bandwidth change request is not within the rerouting domain (i.e. the external bandwidth change indicator is present), the network node may abort the "soft reroute" operation and forward the "bandwidth change indication" message towards the calling party.

2. If the bandwidth change request is to increase the bandwidth.

In this case, the network node may ignore the bandwidth change request and carry on the rerouting operation. The decision of whether queuing or discarding the "bandwidth change indication" message is local implementation dependent, and is not within the scope of this description.

5 When the connection owner receives the "bandwidth change indication" message and the connection is in an active state, it may perform one of the following maintenance actions:

1. release the connection if the new bandwidth requirement is not acceptable to the connection owner.

10 2. initiate the "modify request" message (i.e. ITU-T Q.2963.2 procedures) with the cell rate specified in the "bandwidth change indication" message.

3. initiate the edge-based "soft reroute" procedures if the connection owner is also a rerouting node, and if

15 - the connection owner realizes that the request is generated within its own rerouting domain (i.e. within a single private network), and
 - a new path is found to maintain the new bandwidth requirement.

If the connection owner is also a rerouting node for the edge-based rerouting operation, it is possible that it is in the progress of performing the "soft reroute" operation when it receives the "bandwidth change indication" message.

20 When this happens, the following actions can be performed:

1. If the bandwidth change request is to reduce the bandwidth.

In this case, if the bandwidth change request is within the rerouting domain (i.e. the absent of the external bandwidth change indicator IE), the connection owner may ignore the bandwidth change request and carry on the rerouting operation. It
25 is because that the rerouting may be able to get around the trouble area.

Otherwise, if the bandwidth change request is not within the rerouting domain (i.e. the present of the external bandwidth change indicator IE) and the new bandwidth requirement is acceptable to the connection owner, the connection owner may abort the "soft reroute" operation and may initiate the "modify request" message
30 (i.e. ITU-T Q.2963 procedures). Otherwise, if the bandwidth change request is not within the rerouting domain and the new bandwidth requirement is not acceptable to the connection owner, the connection owner may clear the connection.

2. If the bandwidth change request is to increase the bandwidth.

In this case, the connection owner may ignore the bandwidth change request and
35 carry on the rerouting operation.

It should be noted that it is possible to have multiple "bandwidth change indication" messages sent from the network and the called party to the calling party. However, it is a local implementation decision at the connection owner to deal with these multiple indications. In ITU-T Q.2963, section 5.1 clearly states that one and only one modification can be requested by the connection owner at one time. Therefore, the connection owner may wish to buffer the indications in the sequence of which they arrive; or the connection owner may simply discard the subsequent indications until the current modification procedures are completed.

Figure 4 shows a format of a dynamic bandwidth management option IE which will be modified to contain "external bandwidth change indicator as will be shown below.

Following are a variety of messages used in connection with dynamic bandwidth management option and external bandwidth change indication feature, according to one embodiment of the invention.

Messages

SETUP message

This message is sent by the preceding side to the succeeding side to initiate connection establishment. See the table below for addition to the message to support the bandwidth adjustment feature.

Message Type : SETUP
Direction : Preceding to succeeding
Significance : Global

Table 1: "Setup" message additional content

Information element	Reference	Direction	Type	Length
Dynamic bandwidth management options	T.B.D	both	O	5

CONNECT message

This message is sent by the Succeeding side and delivered to the Preceding side to indicate connection acceptance by the called party. See the table below for addition to the message.

Message Type : CONNECT
Direction : Succeeding to preceding
Significance : Global

Table 2: "Connect" message additional content

Information element	Reference	Direction	Type	Length
Dynamic bandwidth management options	T.B.D	both	O	5

BANDWIDTH CHANGE INDICATION message

- 5 This message is used by the network or the called party to specify the required new bandwidth for the connection from the connection owner. The message is sent from the succeeding side to the preceding side.

Message Type : BANDWIDTH CHANGE INDICATION

Direction : Succeeding to preceding

- 10 Significance : Global

Table 3: "Bandwidth change indication" message additional content

Information element	Reference	Direction	Type	Length
Alternative ATM traffic descriptor	6.4.5.7	S -> P	O	4 - 30
Minimum acceptable ATM traffic descriptor	6.4.5.26	S -> P	O	4 - 20
External bandwidth change indicator	T.B.D	S -> P	O	5

Information elements

Table 4: Dynamic bandwidth management options

8	7	6	5	4	3	2	1	Octets
Dynamic bandwidth management options IE identifier								1
Ext	Coding		IE instruction field					2
	standard		Flag	Res'd	IE action indicator			
Length of dynamic bandwidth management options contents								3
Length of dynamic bandwidth management options contents (continued)								4
Management option								5

Table 6: Coding standard (octet 2)

Bits	Meaning
7 6	
1 1	ATM Forum specific

Table 7: Management option (octet 5)

Bits	Meaning
8 7 6 5 4 3 2 1	
0 0 0 0 0 0 0 0	Clear connection, if insufficient bandwidth
0 0 0 0 0 0 0 1	Inform new bandwidth requirement via "bandwidth change indication" message, set timer and wait for maintenance action from the connection owner

5

Table 8: External bandwidth change Indicator

8	7	6	5	4	3	2	1	Octets
External bandwidth change indicator IE identifier								1
Ext	Coding		IE instruction field					2
	standard		Flag	Res'd	IE action indicator			
Length of external bandwidth change indicator contents								3
Length of external bandwidth change indicator contents (continued)								4
External flag								5

Table 9: Coding standard (octet 2)

Bits	Meaning
7 6	
1 1	ATM Forum specific

10

Table 10: External flag (octet 5)

Bits	Meaning
8 7 6 5 4 3 2 1	
0 0 0 0 0 0 0 1	Outside scope of edge-based

What is claimed as invention is:

1. In an ATM network which is composed of a plurality of domains, a method of managing the resource demand of an active connection which spans
5 one or more domains comprising steps of:
performing a maintenance action at a maintenance node in one domain,
receiving a resource change message at the maintenance node, the message indicating a resource change request; and
deciding to continue performing the maintenance action in response to the
10 resource change request based upon whether or not the resource change message originated outside the domain of the maintenance node.
2. The method of managing the resource demand of an active connection, according to claim 1, wherein the step of deciding to continue comprising a further
15 step of:
labeling the resource change message whether or not the resource change message originated outside the domain of the maintenance node.
3. The method of managing the resource demand of an active connection,
20 according to claim 2, wherein the maintenance action is a rerouting procedure.
4. The method of managing the resource demand of an active connection, according to claim 3, wherein the maintenance node is the connection owner of the active connection, comprising a further step of:
25 the connection owner continuing to perform the rerouting procedure to its completion if the resource change request is for increasing the bandwidth or if the resource change request is for reducing the bandwidth and the resource change message originated outside the domain.
- 30 5. The method of managing the resource demand of an active connection, according to claim 3, wherein the maintenance node is the connection owner of the active connection, comprising a further step of:
the connection owner aborting the rerouting procedure and initiating a modify request procedure if the resource change request is for reducing the
35 bandwidth and the request is acceptable.

6. The method of managing the resource demand of an active connection, according to claim 3, wherein the maintenance node is a rerouting node of the active connection, comprising a further step of:
- 5 the rerouting node continuing to perform the rerouting procedure to its completion if the resource change request is for increasing the bandwidth or if the resource change request is for reducing the bandwidth and the resource change message originated within the domain;
- otherwise the rerouting node aborting the rerouting procedure; and
- 10 sending upstream the resource change message, after having aborted or completed the maintenance action.
7. The method of managing the resource demand of an active connection, according to claim 2, comprising further steps of:
- 15 receiving the resource change message at a rendezvous node, and setting a flag in the resource change message, depending upon whether or not the resource change message originated outside the domain of the maintenance node; and
- sending upstream the resource change message so labeled.
- 20 8. The method of managing the resource demand of an active connection according to claim 4, wherein the rerouting procedure includes a step of:
- breaking the active connection after a new connection has been established.
- 25 9. The method of managing the resource demand of an active connection according to claim 4, wherein the rerouting procedure includes a step of:
- breaking the active connection before a new connection is established.
- 30 10. The method of managing the resource demand of an active connection according to claim 6, wherein the rerouting procedure includes a step of:
- breaking the active connection after a new connection has been established.

11. The method of managing the resource demand of an active connection according to claim 6, wherein the rerouting procedure includes a step of:
breaking the active connection before a new connection is established.
- 5 12. The method of managing the resource demand of an active connection according to claim 4, further comprising steps of:
receiving another resource change message at the connection owner, the message indicating a resource change request; and
determining if the rerouting procedure is to be continued.

1/4

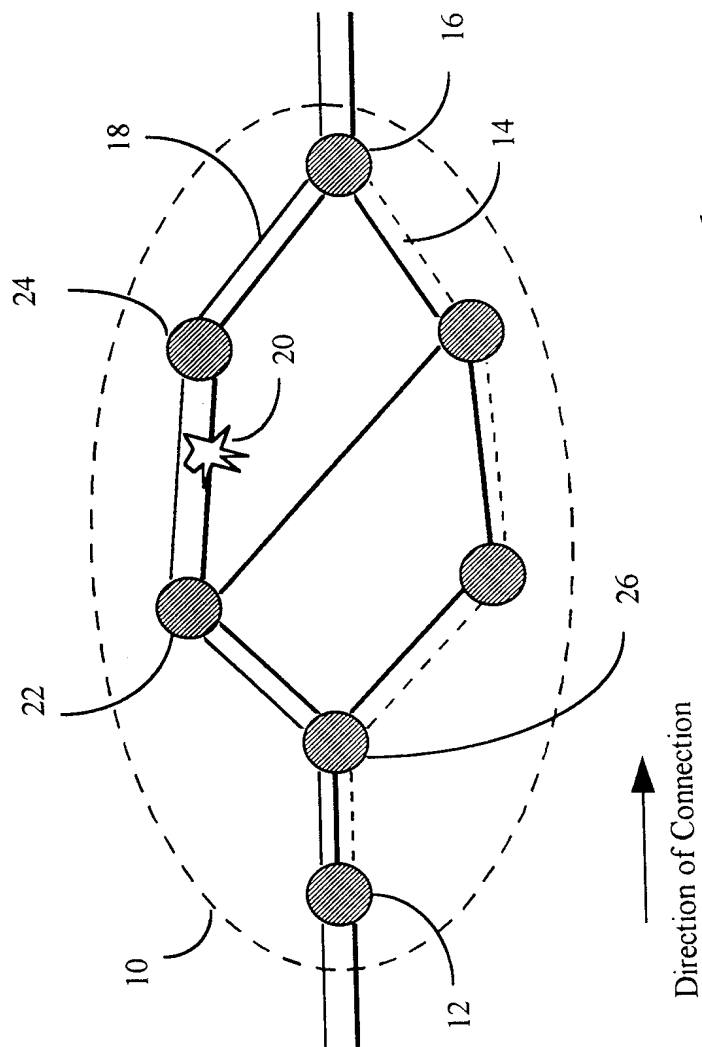


Fig 1

2/4

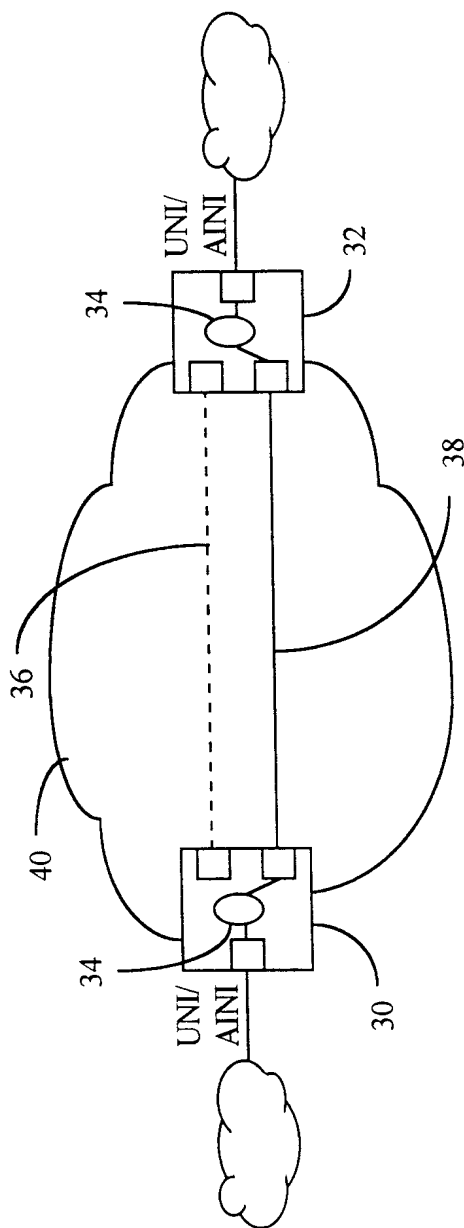
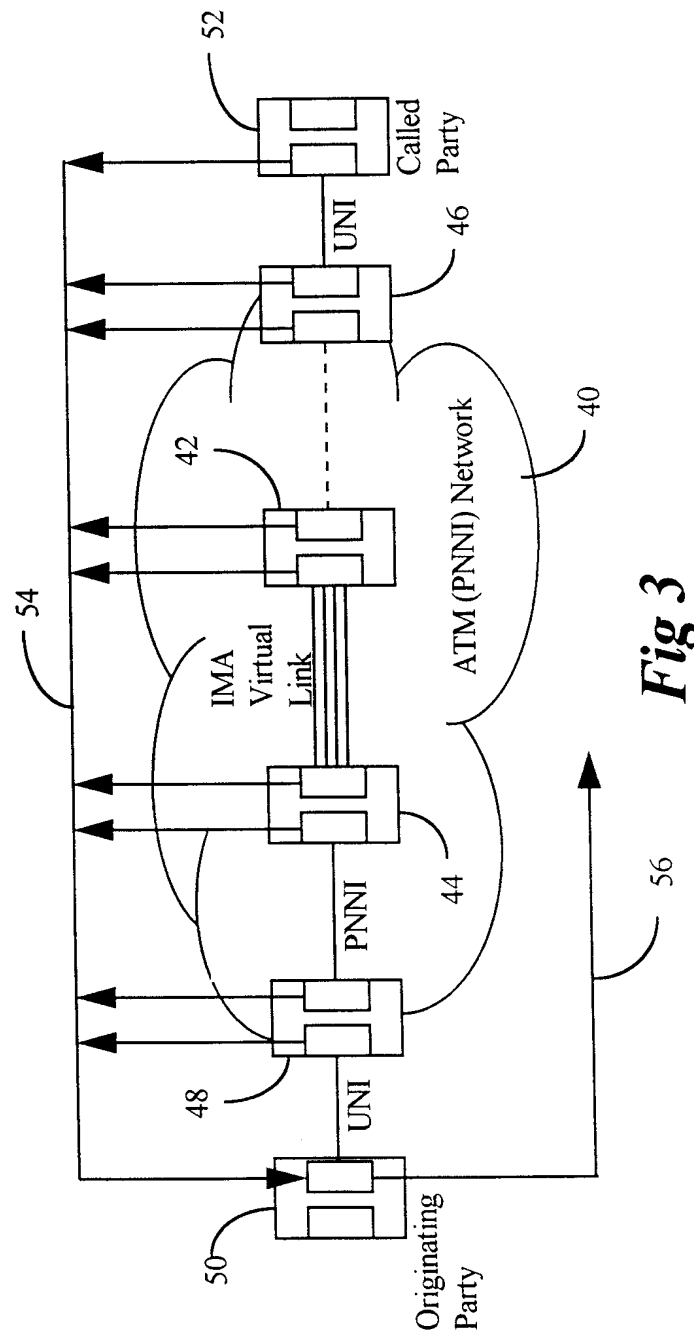


Fig 2

3/4

**Fig 3**

Octet								1	2	3	4	5
8	X	X	X	X	X	X	X	Dynamic Bandwidth Management Option				
	Information Element Identifier							IE Instruction Field				
1 ext	Coding Standard											
Length of Dynamic Bandwidth Management Option												
Length of Dynamic Bandwidth Management Option (cont'd)												
Dynamic Bandwidth Management Option Indicator												

Fig 4

INTERNATIONAL SEARCH REPORT

International Application No

PCT/CA 99/00259

A. CLASSIFICATION OF SUBJECT MATTER

IPC 6 H04Q11/04

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 H04Q H04L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	<p>YUAN R ET AL. : "A signaling and control architecture for mobility support in wireless ATM Networks"</p> <p>1996 IEEE INTERNATIONAL CONFERENCE ON COMMUNICATIONS (ICC), CONVERGING TECHNOLOGIES FOR TOMORROW'S APPLICATIONS , 23 - 27 June 1996, pages 478-484, XP002109515</p> <p>Dallas</p> <p>abstract</p> <p>paragraph '04.1!; figures 1,3</p> <p>paragraph '04.4!</p> <p>---</p> <p>-/--</p>	1,2,7



Further documents are listed in the continuation of box C.



Patent family members are listed in annex.

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INTERNATIONAL SEARCH REPORT

International Application No

PCT/CA 99/00259

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